



FORM PTO-1449

Atty. Docket No.:  
1201.1136101

Serial No.:  
10/015,202

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Alan H. Ostroff et al.

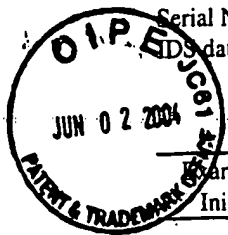
Customer No.: 7091

Filing Date:  
November 5, 2001

Group Art:  
3762

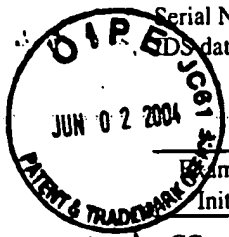
U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date       | Name            | Filing Date If Appropriate |
|------------------|--------------|------------|-----------------|----------------------------|
| AA               | H1,905       | 10/03/2000 | Hill            |                            |
| AB               | 3,653,387    | 04/04/1972 | Ceier           |                            |
| AC               | 3,710,374    | 01/09/1973 | Kelly           |                            |
| AD               | 3,911,925    | 10/14/1975 | Tillery, Jr.    |                            |
| AE               | 4,157,720    | 06/12/1979 | Greatbatch      |                            |
| AF               | 4,191,942    | 03/04/1980 | Long            |                            |
| AG               | 4,223,678    | 09/23/1980 | Langer et al.   |                            |
| AH               | 4,248,237    | 02/03/1981 | Kenny           |                            |
| AI               | 4,291,707    | 09/29/1981 | Heilman et al.  |                            |
| AJ               | 4,314,095    | 02/02/1982 | Moore et al.    |                            |
| AK               | 4,402,322    | 09/06/1983 | Duggan          |                            |
| AL               | 4,407,288    | 10/04/1983 | Langer et al.   |                            |
| AM               | 4,424,818    | 01/10/1984 | Doring et al.   |                            |
| AN               | 4,450,527    | 05/22/1984 | Sramek          |                            |
| AO               | 4,567,900    | 02/04/1986 | Moore           |                            |
| AP               | 4,602,637    | 07/29/1986 | Elmqvist et al. |                            |
| AQ               | 4,603,705    | 08/05/1986 | Speicher et al. |                            |
| AR               | 4,693,253    | 09/15/1987 | Adams           |                            |
| AS               | 4,765,341    | 08/23/1988 | Mower et al.    |                            |
| AT               | 4,800,883    | 01/31/1989 | Winstrom        |                            |
| AU               | 4,830,005    | 05/16/1989 | Woskow          |                            |
| AV               | 4,944,300    | 07/31/1990 | Saksena         |                            |
| AW               | 5,105,810    | 04/21/1992 | Collins et al.  |                            |
| AX               | 5,109,842    | 05/05/1992 | Adinolfi        |                            |



Serial No. 10/015,202  
IDS dated June 2, 2004

| Examiner Initial | Document No. | Date       | Name             | Filing Date If Appropriate |
|------------------|--------------|------------|------------------|----------------------------|
| AY               | 5,129,392    | 07/14/1992 | Bardy et al.     |                            |
| AZ               | 5,133,353    | 07/28/1992 | Hauser           |                            |
| BA               | 5,144,946    | 09/08/1992 | Weinberg et al.  |                            |
| BB               | 5,184,616    | 02/09/1993 | Weiss            |                            |
| BC               | 5,191,901    | 03/09/1993 | Dahl et al.      |                            |
| BD               | 5,203,348    | 04/20/1993 | Dahl et al.      |                            |
| BE               | 5,230,337    | 07/27/1993 | Dahl et al.      |                            |
| BF               | 5,255,692    | 10/26/1993 | Neubauer et al.  |                            |
| BG               | 5,261,400    | 11/16/1993 | Bardy            |                            |
| BH               | 5,300,106    | 04/05/1994 | Dahl et al.      |                            |
| BI               | 5,331,966    | 07/26/1994 | Bennett et al.   |                            |
| BJ               | 5,342,407    | 08/30/1994 | Dahl et al.      |                            |
| BK               | 5,366,496    | 11/22/1994 | Dahl et al.      |                            |
| BL               | 5,376,103    | 12/27/1994 | Anderson et al.  |                            |
| BM               | 5,376,104    | 12/27/1994 | Sakai et al.     |                            |
| BN               | 5,385,574    | 01/31/1995 | Hauser et al.    |                            |
| BO               | 5,391,200    | 02/21/1995 | KenKnight et al. |                            |
| BP               | 5,405,363    | 04/11/1995 | Kroll et al.     |                            |
| BQ               | 5,411,539    | 05/02/1995 | Neisz            |                            |
| BR               | 5,411,547    | 05/02/1995 | Causey, III      |                            |
| BS               | 5,413,591    | 05/09/1995 | Knoll            |                            |
| BT               | 5,423,326    | 06/13/1995 | Wang et al.      |                            |
| BU               | 5,476,503    | 12/19/1995 | Yang             |                            |
| BV               | 5,509,923    | 04/23/1996 | Middleman et al. |                            |
| BW               | 5,509,928    | 04/23/1996 | Acken            |                            |
| BX               | 5,531,765    | 07/02/1996 | Pless            |                            |
| BY               | 5,531,766    | 07/02/1996 | Kroll et al.     |                            |
| BZ               | 5,534,019    | 07/09/1996 | Paspa            |                            |
| CA               | 5,534,022    | 07/09/1996 | Hoffmann et al.  |                            |
| CB               | 5,597,956    | 01/28/1997 | Ito et al.       |                            |

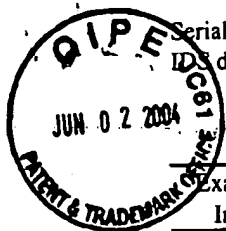


Serial No. 10/015,202  
SDS dated June 2, 2004

| Examiner Initial | Document No. | Date       | Name              | Filing Date If Appropriate |
|------------------|--------------|------------|-------------------|----------------------------|
| CC               | 5,601,607    | 02/11/1997 | Adams             |                            |
| CD               | 5,603,732    | 02/18/1997 | Dahl et al.       |                            |
| CE               | 5,618,287    | 04/08/1997 | Fogarty et al.    |                            |
| CF               | 5,620,477    | 04/15/1997 | Pless et al.      |                            |
| CG               | 5,643,328    | 07/01/1997 | Cooke et al.      |                            |
| CH               | 5,645,586    | 07/08/1997 | Meltzer           |                            |
| CI               | 5,658,317    | 08/19/1997 | Haefner et al.    |                            |
| CJ               | 5,658,321    | 08/19/1997 | Fayram et al.     |                            |
| CK               | 5,674,260    | 10/07/1997 | Weinberg          |                            |
| CL               | 5,690,648    | 11/25/1997 | Fogarty et al.    |                            |
| CM               | 5,690,683    | 11/25/1997 | Haefner et al.    |                            |
| CN               | 5,697,953    | 12/16/1997 | Kroll et al.      |                            |
| CO               | 5,713,926    | 02/03/1998 | Hauser et al.     |                            |
| CP               | 5,766,226    | 06/16/1998 | Pedersen          |                            |
| CQ               | 5,776,169    | 07/07/1998 | Schroepel         |                            |
| CR               | 5,814,090    | 09/29/1998 | Latterell et al.  |                            |
| CS               | 5,836,976    | 11/17/1998 | Min et al.        |                            |
| CT               | 5,895,414    | 04/20/1999 | Sanchez-Zambrano  |                            |
| CU               | 5,919,211    | 07/06/1999 | Adams             |                            |
| CV               | 5,919,222    | 07/06/1999 | Hjelle et al.     |                            |
| CW               | 5,925,069    | 07/20/1999 | Graves et al.     |                            |
| CX               | 5,935,154    | 08/10/1999 | Westlund          |                            |
| CY               | 5,941,904    | 08/24/1999 | Johnston et al.   |                            |
| CZ               | 6,014,586    | 01/11/2000 | Weinberg et al.   |                            |
| DA               | 6,026,325    | 02/15/2000 | Weinberg et al.   |                            |
| DB               | 6,058,328    | 05/02/2000 | Levine et al.     |                            |
| DC               | 6,093,173    | 06/25/2000 | Balceta et al.    |                            |
| DD               | 6,095,987    | 08/01/2000 | Shmulewitz et al. |                            |
| DE               | 6,128,531    | 10/03/2000 | Campbell-Smith    |                            |
| DF               | 6,144,866    | 11/07/2000 | Miesel et al.     |                            |

Kristen Mullen

10/14/04



Serial No. 10/015,202  
ID# dated June 2, 2004

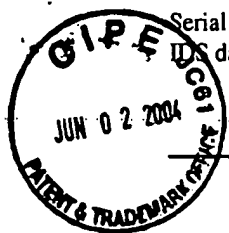
| Examiner Initial | Document No.   | Date       | Name            | Filing Date If Appropriate |
|------------------|----------------|------------|-----------------|----------------------------|
| DG               | 6,185,450      | 02/06/2001 | Seguine et al.  |                            |
| DH               | 6,411,844      | 06/25/2002 | Kroll et al.    |                            |
| DI               | 6,647,292      | 11/11/2003 | Bardy et al.    |                            |
| DJ               | 2001/027330 A1 | 10/04/2001 | Sullivan et al. |                            |

FOREIGN PATENT DOCUMENTS

|    | Document No.      | Date       | Country | Translation Yes No |
|----|-------------------|------------|---------|--------------------|
| DK | DE 298 01 807 U1  | 07/16/1998 | Germany | Yes                |
| DL | EP 0 095 727 A1   | 12/07/1983 | Europe  |                    |
| DM | EP 0 316 616 A2,3 | 05/24/1989 | Europe  | Yes                |
| DN | EP 0 347 353 A1   | 12/20/1989 | Europe  | Yes                |
| DO | EP 0 517 494 A3   | 12/09/1992 | Europe  |                    |
| DP | EP 0 517 494 B1   | 12/09/1992 | Europe  |                    |
| DQ | EP 0 518 599 A2   | 12/16/1992 | Europe  |                    |
| DR | EP 0 518 599 B1   | 12/16/1992 | Europe  |                    |
| DS | EP 0 536 873 B1   | 04/14/1993 | Europe  |                    |
| DT | EP 0 586 858 B1   | 03/16/1994 | Europe  |                    |
| DU | EP 0 627 237 A1   | 12/07/1994 | Europe  |                    |
| DV | EP 0 641 573 A2   | 03/08/1995 | Europe  |                    |
| DW | EP 0 641 573 A3   | 03/08/1995 | Europe  |                    |
| DX | EP 0 677 301 A1   | 10/18/1995 | Europe  |                    |
| DY | EP 0 917 887 A1   | 05/26/1999 | Europe  |                    |
| DZ | EP 0 923 130 A1   | 06/16/1999 | Europe  | Yes                |
| EA | EP 1 000 634 A1   | 05/17/2000 | WIPO    | Yes                |
| EB | WO 93/19809 A1    | 10/14/1993 | WIPO    |                    |
| EC | WO 97/29802 A2    | 08/21/1997 | WIPO    |                    |
| ED | WO 98/25349 A1    | 06/11/1998 | WIPO    |                    |
| EE | WO 99/03534 A1    | 01/28/1999 | WIPO    |                    |
| EF | WO 99/37362 A1    | 07/29/1999 | WIPO    |                    |
| EG | WO 99/53991 A1    | 10/28/1999 | WIPO    |                    |
| EH | WO 00/41766 A1    | 07/20/2000 | WIPO    |                    |

Krista Mullen

10/14/04



Serial No. 10/015,202  
US dated June 2, 2004

|    |     | Document No.    | Date       | Country | Translation<br>Yes No |
|----|-----|-----------------|------------|---------|-----------------------|
| EI | KDM | WO 00/50120 A1  | 08/31/2000 | WIPO    |                       |
| EJ |     | WO 01/43649 A1  | 06/21/2001 | WIPO    |                       |
| EK |     | WO 01/56166 A2  | 08/02/2001 | WIPO    |                       |
| EL |     | WO 02/22208 A2  | 03/21/2002 | WIPO    |                       |
| EM |     | WO 02/22208 A3  | 03/21/2002 | WIPO    |                       |
| EN |     | WO 02/24275 A2  | 03/28/2002 | WIPO    |                       |
| EO |     | WO 02/24275 A3  | 03/28/2002 | WIPO    |                       |
| EP |     | WO 02/068046 A1 | 09/06/2002 | WIPO    |                       |
| EQ | KDM | WO 03/018121 A2 | 03/06/2003 | WIPO    |                       |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |   |
|----|---|
| ER | Friedman, Richard A. et al., "Implantable Defibrillators In Children: From Whence to Shock," <u>Journal of Cardiovascular Electrophysiology</u> , Vol. 12, No. 3, March 2001, pp. 361-362.  |
| ES | Gradaus, Rainer et al., "Nonthoracotomy Implantable Cardioverter Defibrillator Placement in Children: Use of Subcutaneous Array Leads and Abdominally Placed Implantable Cardioverter Defibrillators in Children," <u>Journal of Cardiovascular Electrophysiology</u> , Volume 12, No.3, March 2001, pp. 356-360. |
| ET | Mirowski, M. et al., "Automatic Detection and Defibrillation of Lethal Arrhythmias-A New Concept," <u>JAMA</u> , Vol. 213, No. 4, July 27, 1970, pp. 615-616.   |
| EU | Olson, Walter H. et al., "Onset and Stability for Ventricular Tachyarrhythmia Detection in an Implantable Pacer-Cardioverter-Defibrillator," <u>IEEE</u> , (1987) pp. 167-170.  |
| EV | Schuder, John C., "Completely Implanted Defibrillator," <u>JAMA</u> , Vol. 214, No. 6, November. 9, 1970. p. 1123 (single sheet).   |
| EW | Schuder, John C., "The Role of an Engineering Oriented Medical Research Group in Developing Improved Methods and Devices for Achieving Ventricular Defibrillation: The University of Missouri Experience," <u>PACE</u> , Vol. 16, January 1993, pp. 95-124.   |
| EX | Schuder, John C. et al., "Experimental Ventricular Defibrillation with an Automatic and Completely Implanted System," <u>Trans. Amer. Soc. Artif. Int. Organs</u> , Vol. XVI (1970) pp. 207-212.  |
| EY | Schuder, John C. et al., "Standby Implanted Defibrillators," <u>Arch Intern. Med.</u> , Vol. 127, February 1971, p. 317 (single sheet).   |
| EZ | Schuder, John C. et al., "Transthoracic Ventricular Defibrillation in the Dog with Truncated and Untruncated Exponential Stimuli," <u>IEEE Transactions on Bio-Medical Engineering</u> , Vol. BME-18, No. 6, November 1971, pp. 410-415.  |
| FA | Tietze U. et al., "Halbleiter-Schaltungstechnik," ©Springer-Verlag (Berlin, Germany), (1991), pp. 784-786.  |
| FB | Walters, R.A. et al., "Analog to Digital Conversion Techniques in Implantable Devices," <u>Annual International Conference of the IEEE Engineering in Medicine and Biology Society</u> , Vol. 13 No. 4 (1991) Pg. 1674-1676.  |

EXAMINER:

Keth Muller

DATE CONSIDERED:

10/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.